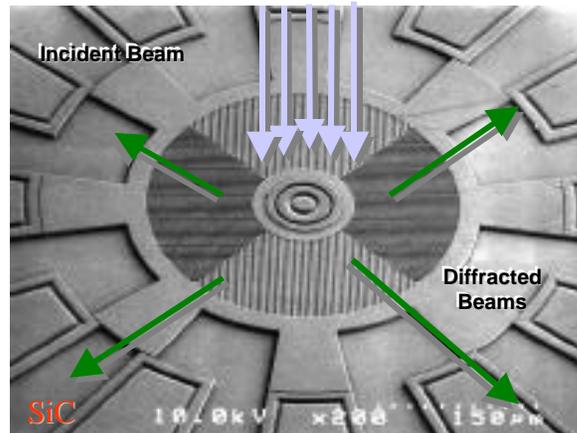


Micro-optoelectromechanical Systems (MOEMS)



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Outline



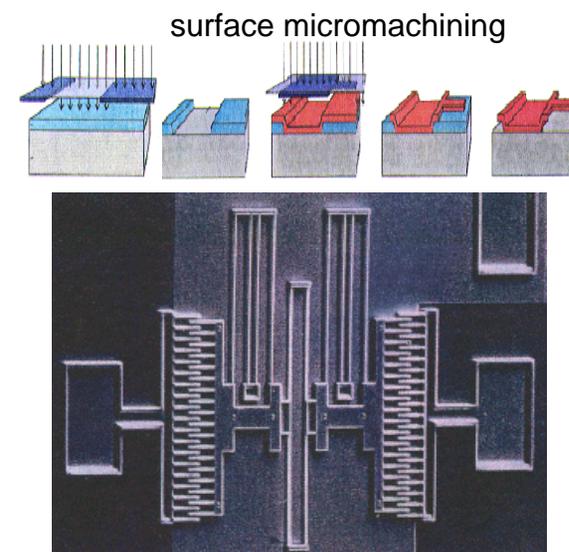
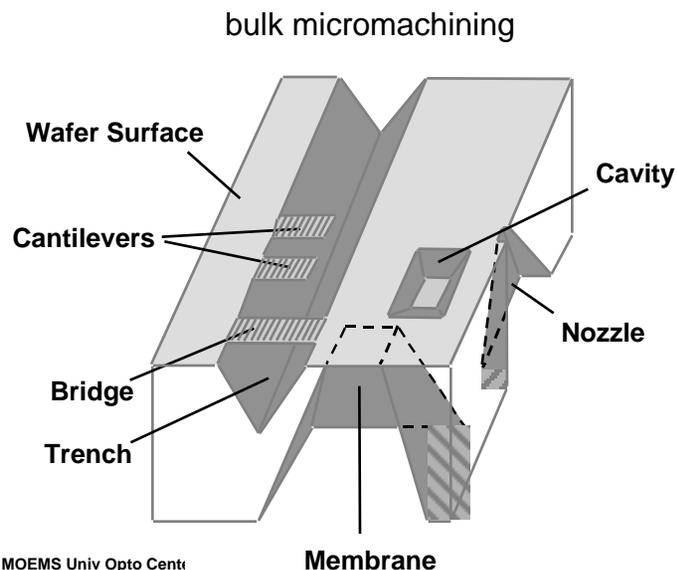
- The MEMS process
- MOEMS
 - Features and characteristics
 - Examples of micromachined optical elements
 - Examples of optical microsystems
- General MOEMS/MEMS Activity
- Summary



Common Micromachining Processes



- Bulk micromachining removes “bulk” substrate material (i.e. large holes, pits, and sawing etc.)
- Surface micromachining removes material from “above” the substrate
 - involves deposition and removal processes
- Micromachining processes are either
 - subtractive: etching, laser machining, mechanical milling, etc.
 - additive: deposition of dielectrics, metals, glasses, etc.



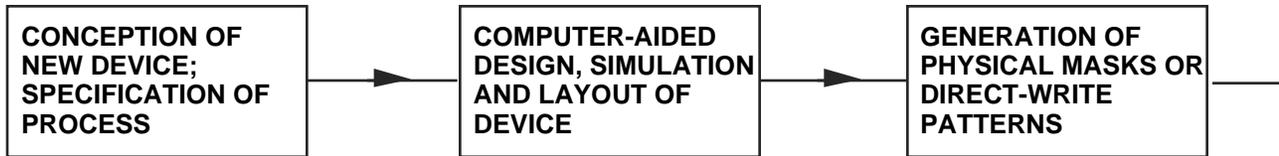


Micromachining Builds on Microelectronics Manufacturing Technology



*free-form geometries
3D solid modelers*

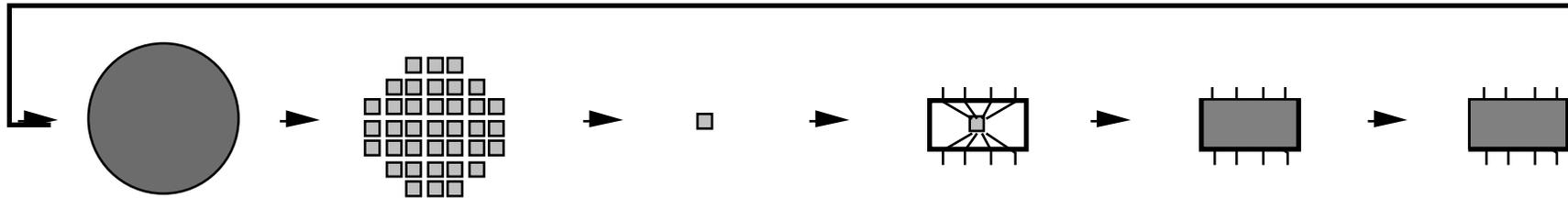
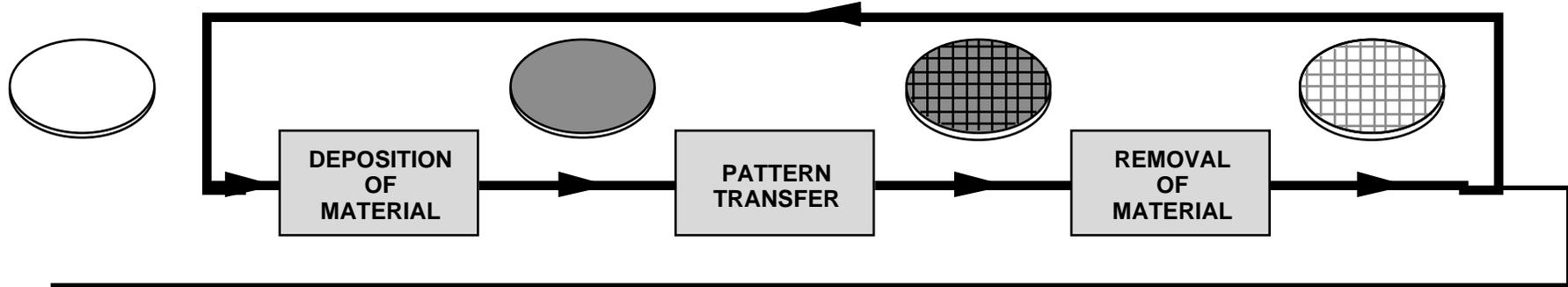
*coupled electrical, mechanical,
fluidic, kinematic ... analysis*



*thicker films
deeper etches
fewer steps*

*removal of underlying
materials to release
mechanical parts*

MULTIPLE PROCESSING CYCLES



*special probing, sectioning and handling
procedures to protect released parts*

*seal some parts of device
but expose others*

*test more than
electrical function*

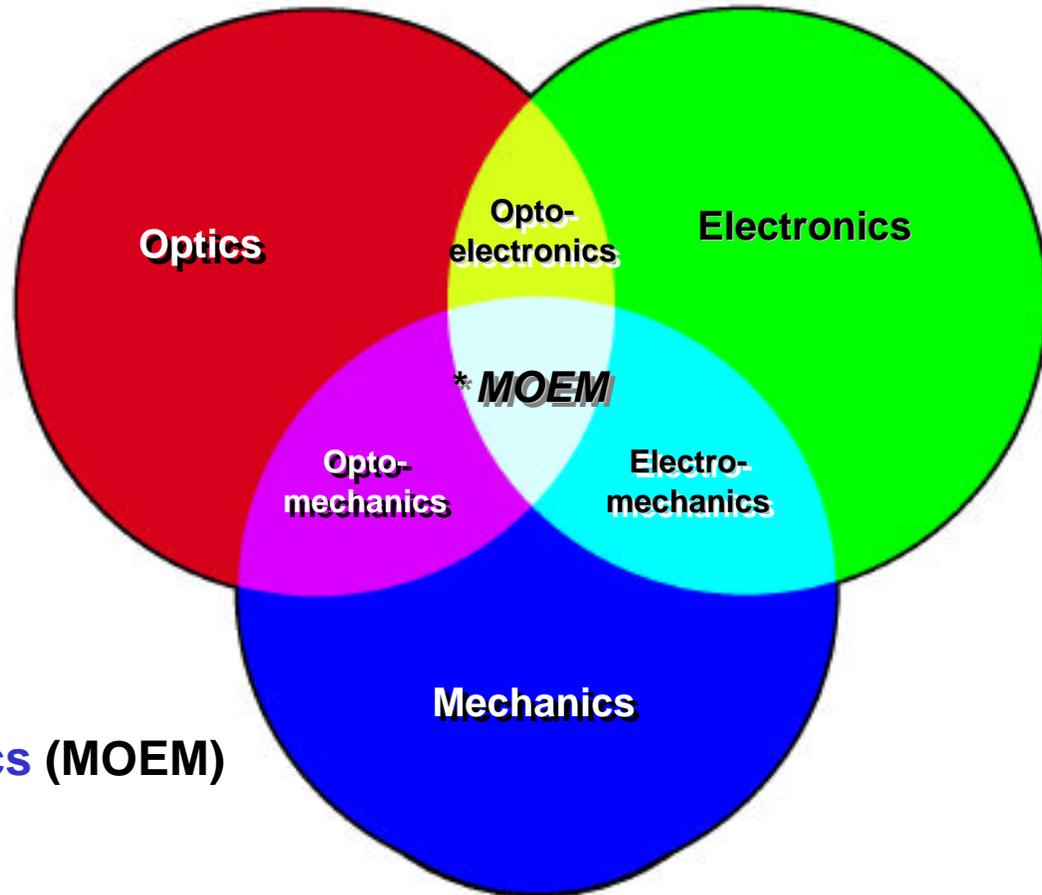


MicroOptoElectroMechanical Systems (MOEMS)



MOEMS derive their functionality from the miniaturization of:

- Optics
- Electronics
- Mechanics



* Micro**Opto**Electro**Mechanics** (MOEM)

The use of microelectronics batch-processing techniques makes possible the design and construction of microsystems.



What Does Micromachining Offer Optics



- Size reduction of optical elements
- Motion of optical elements
- Co-location of electronics for motion control



Micro-optical Elements



Element	<u>Function</u>
• Lens	focusing
• Post/Stand	mechanical support
• Mirror	reflection
• Grating	deflection/diffraction/spectral resolution
• Beam Splitter	beam combiner/divider
• Waveguide	beam guidance
• Modulator (Chopper)	modulation/attenuation



Features of Optical Micromachines



- Micro-Mechanics provide:
 - micro-actuation
 - micro-mechanical linear and rotary motion.
- Micro-Optics can be:
 - diffractive, refractive, or reflective micro-optical elements (fixed or movable)
 - e.g., lenses, gratings, mirrors
 - elements that exploit free-space optical properties of light
 - self-aligned micro-optical elements.
- Micro-Electronics provide
 - drive voltages and currents for linear and rotary motion
 - local signal pre-processing capability



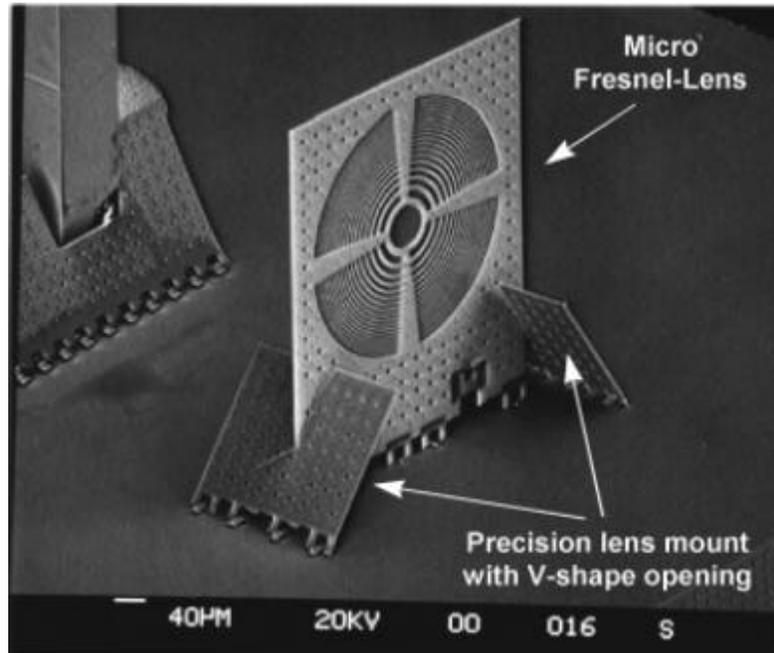
Characteristics of Micromachines



- Size: (small) microns to mm scale
- Speed: 100's of nanoseconds to 1 second
- Actuation energy: $CV^2/2$ (electrostatic)
- Complexity: can be fairly complex
- Scalability: 1 to 10^6 components
- Integrability: with electronics and optics
- Optical paths: free-space or guided
- Cost: cost of chip + assembly/package



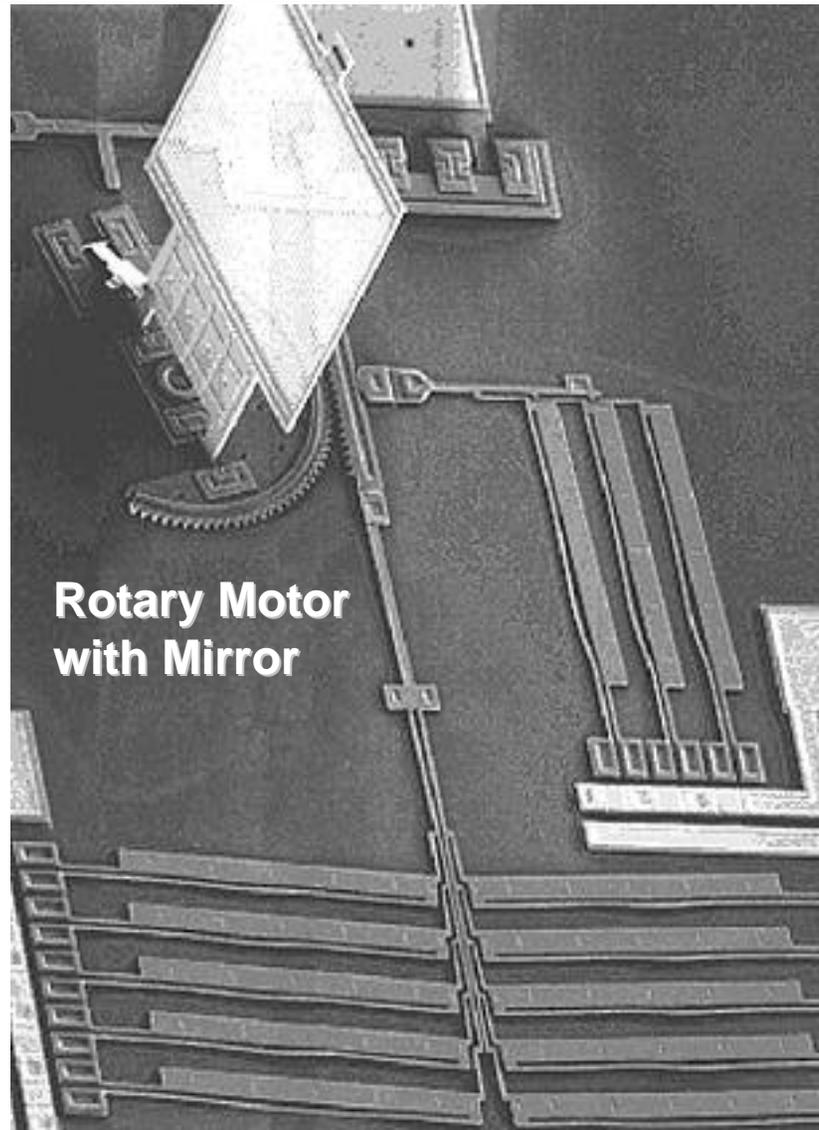
A Fresnel Micro-Lens



UCLA



Movable Micro-mirror

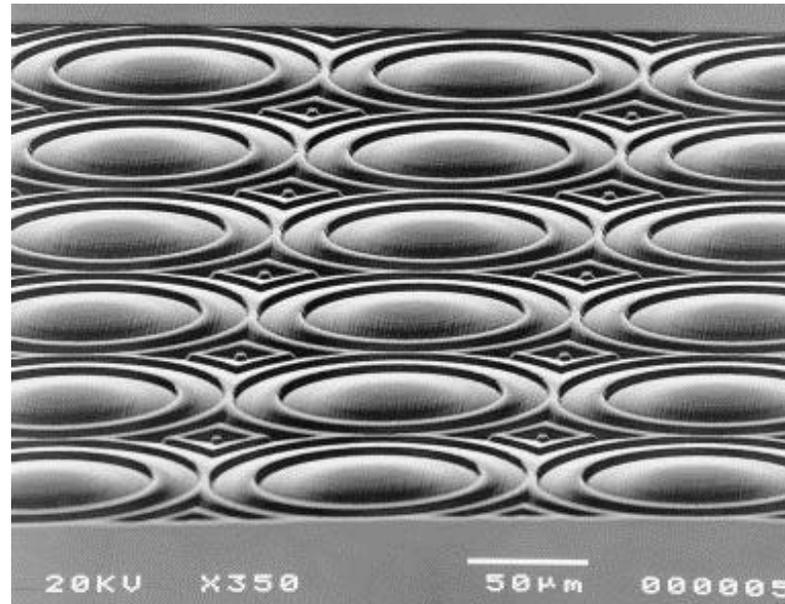


**Rotary Motor
with Mirror**

AFIT



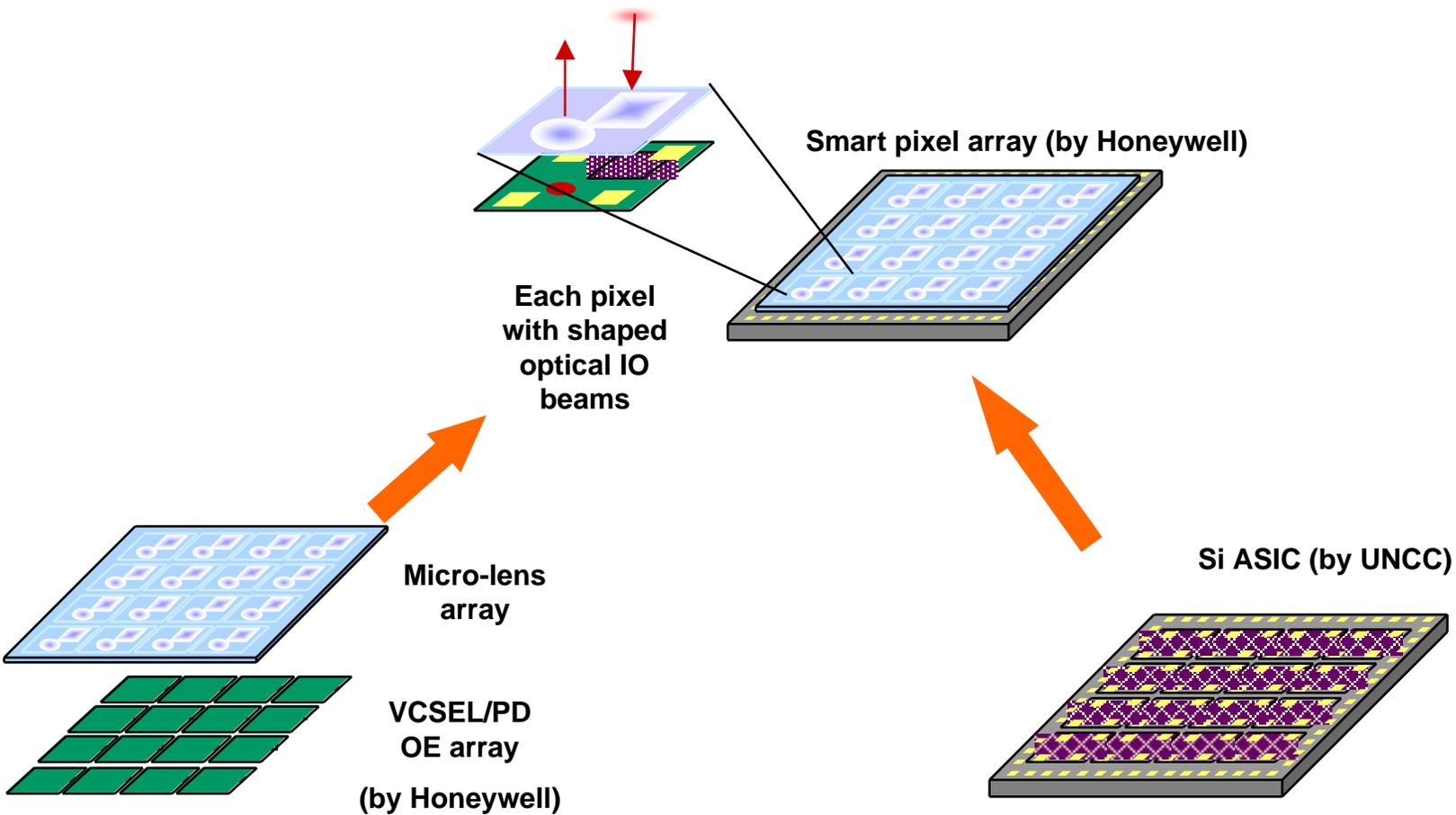
Batch-micromachined Optical Elements



- A microlens array compatible with planar electro-optical device arrays

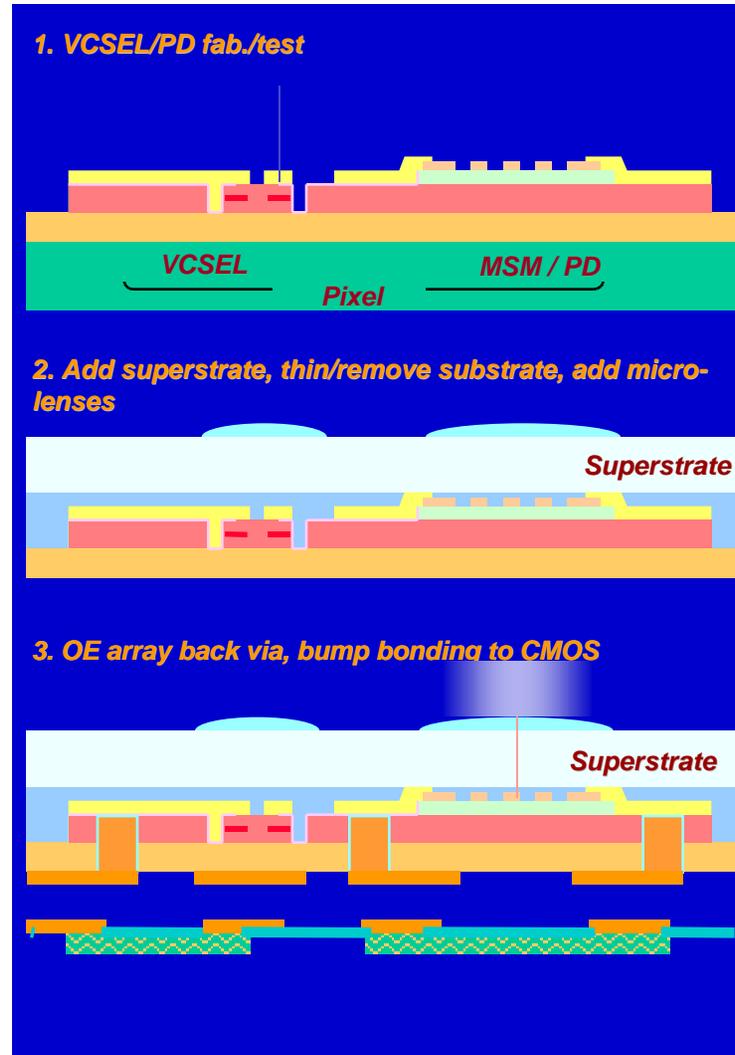


Example of Heterogeneous Integration





Details on Heterogeneous Integration





Focus of Current MOEMS Work



- New types of displays
 - based on physical motion of reflecting surfaces (light modulation)
- Communication systems
 - fiber-optic switches, crossconnects, add/drop filters, etc.
 - based on physical motion of mirror to direct light to where it is needed

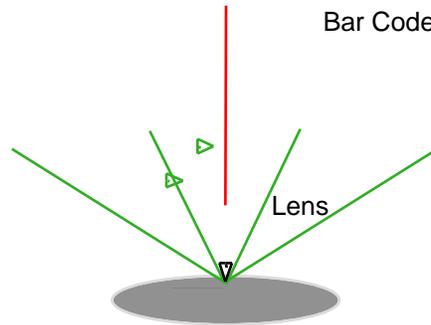


Mirror

Bar Code

Lens Detector

Microstatormotor with a diffraction grating



Signal
Processing
Electronics

VCSEL

Features:

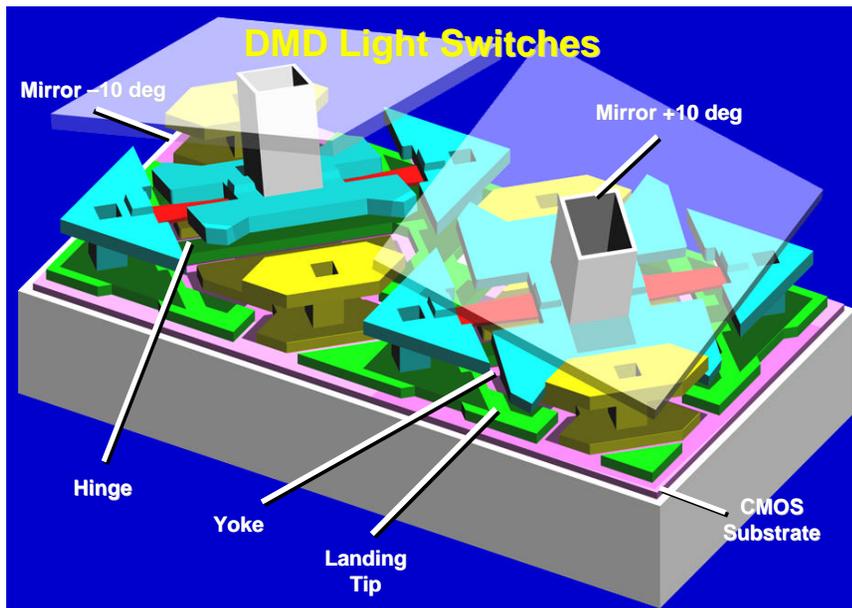
- **20V operating voltage**
- **up to 5000 rpm scan speeds**
- **0.5 mm deflected light spot**
- **high-quality spot / beam profiles**
- **High reliability**
- **Minimal assembly**



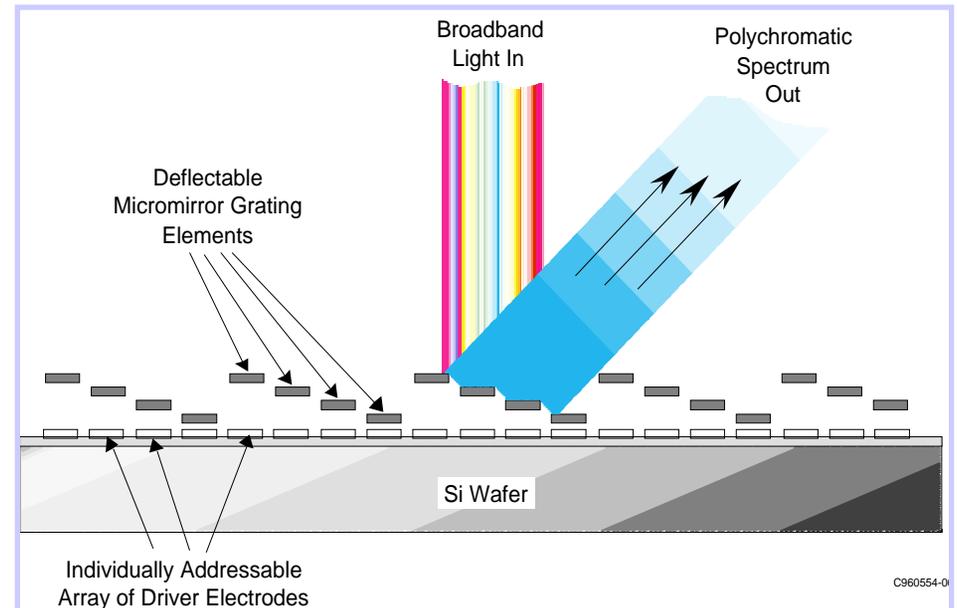
MicroOptoElectroMechanical Systems (MOEMS)



- Manipulation of light for signal sensing and processing
 - The mechanics provide motion controlled by electronics
- Examples of controlled mechanical motion of optical beams:



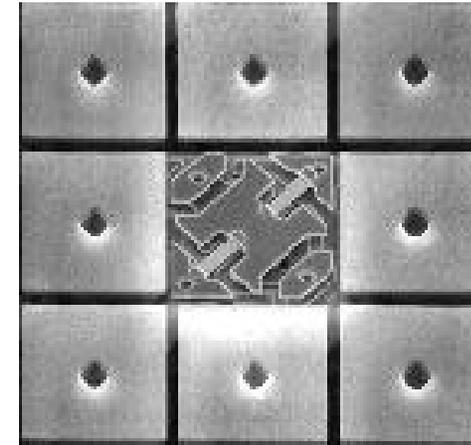
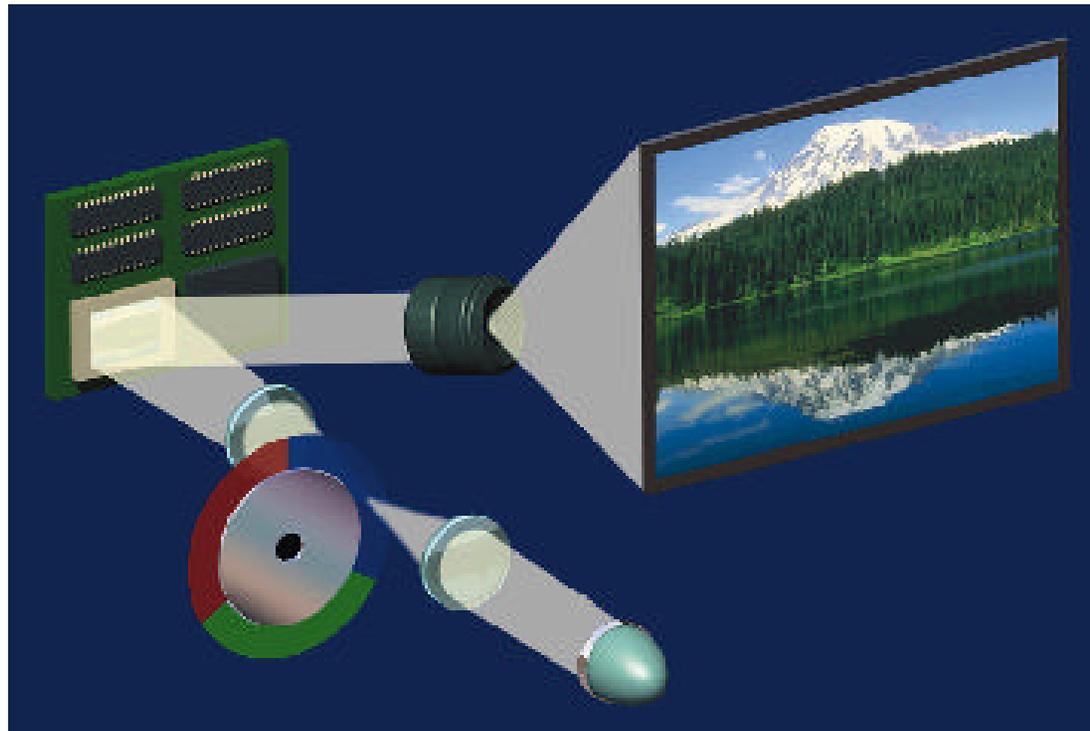
Beam Deflection



Spectral Separation



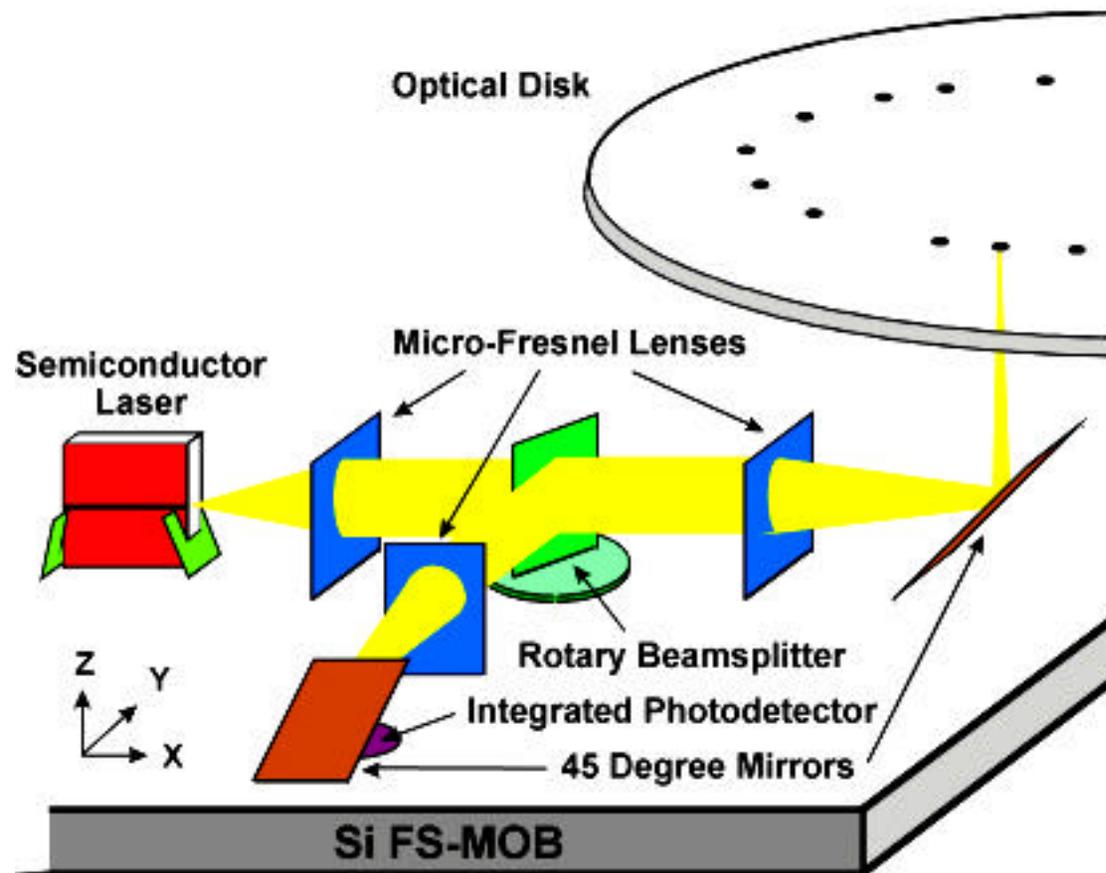
The Digital Micromirror Display



- 2000x1000 pixel resolution (2 million micromirrors)
- 16 μm x 16 μm mirrors
- digital gray scale using pulse width modulation



Micro-optical Disk Pickup Head



UCLA



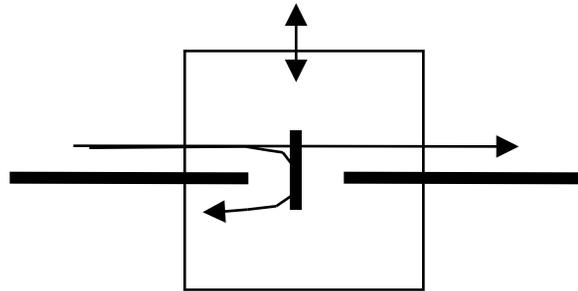
MOEMS in Communications



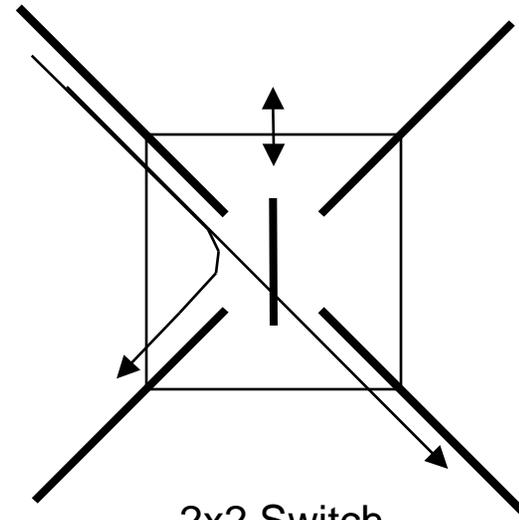
- Devices required for communication systems
 - modulators
 - switches
 - micromirrors
- Enabled systems
 - WDM add/drop filter
 - active equalizers
 - optical crossconnects



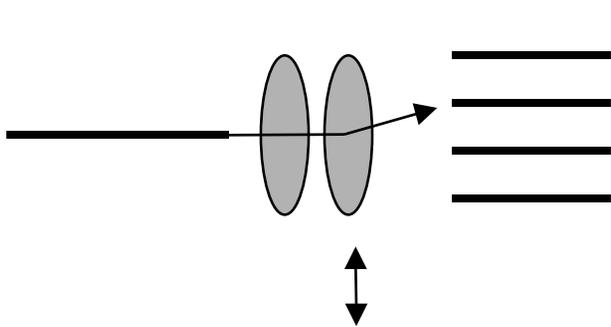
Optical Switch Configurations



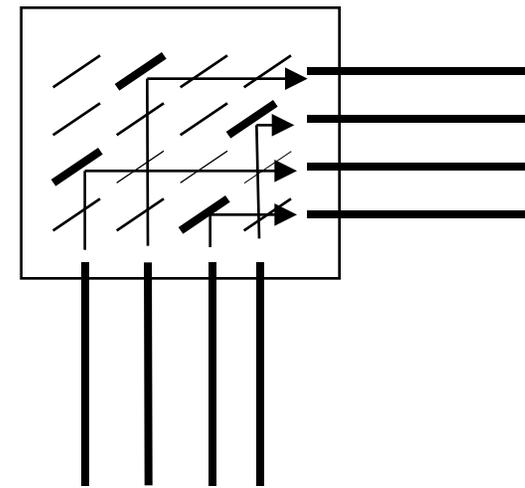
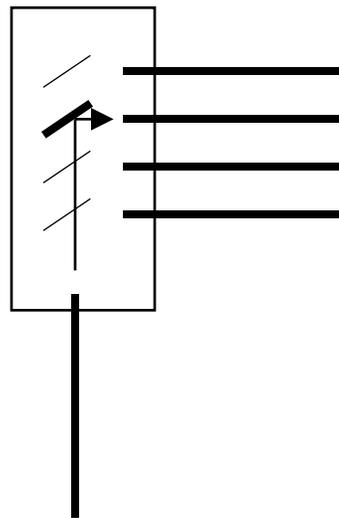
ON-Off Switch
(optical gate)



2x2 Switch



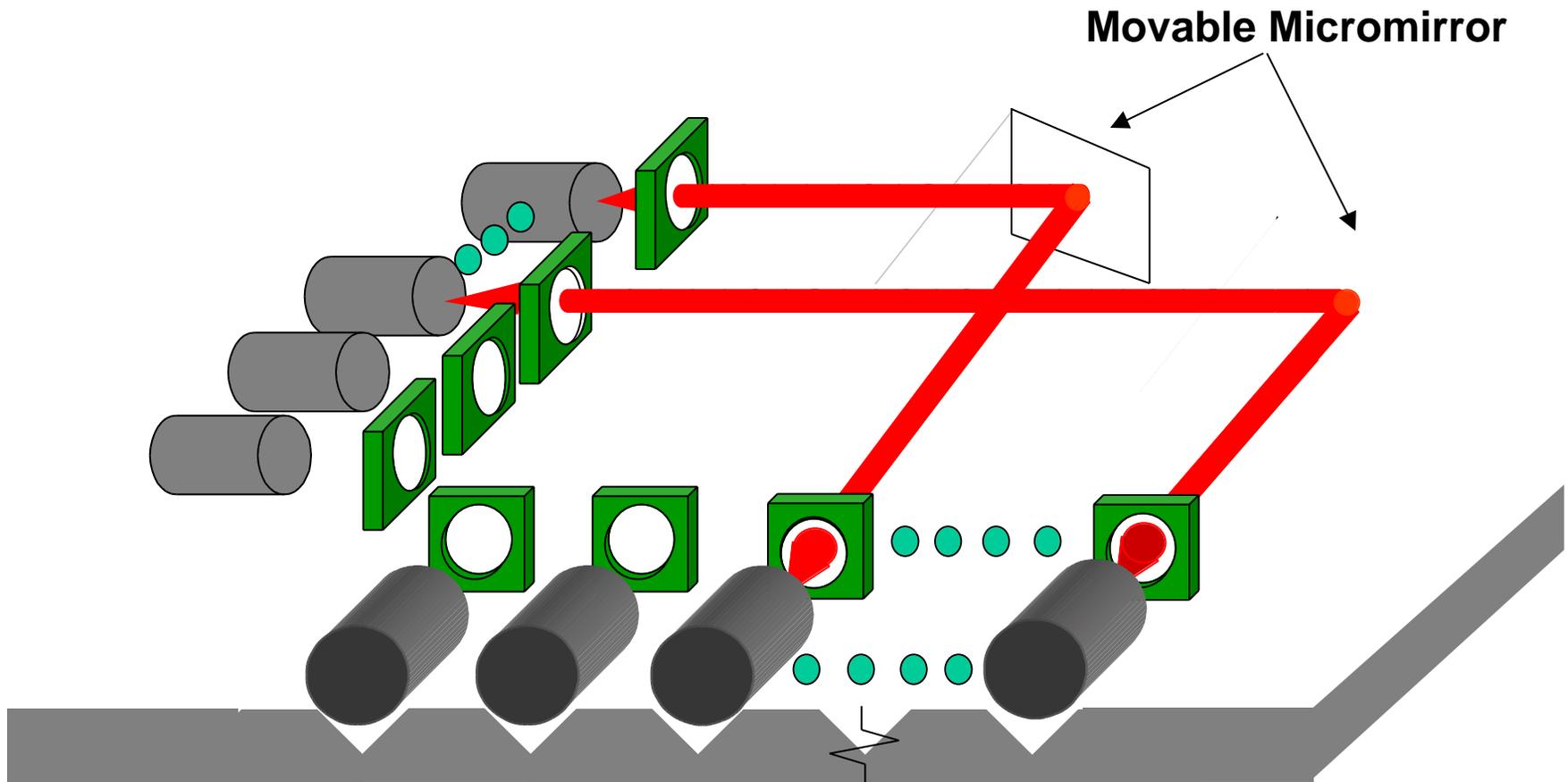
1xN Switch



NxN Switch



Optical Cross-Connect Switch





Challenges in Optical MEMS



- MEMS are inherently 2-dimensional
- Classical physical optics is inherently 3-dimensional (although modern photonics can be 2-dimensional)
- Complicated and elaborate assembly process required
- Packaging is a problem



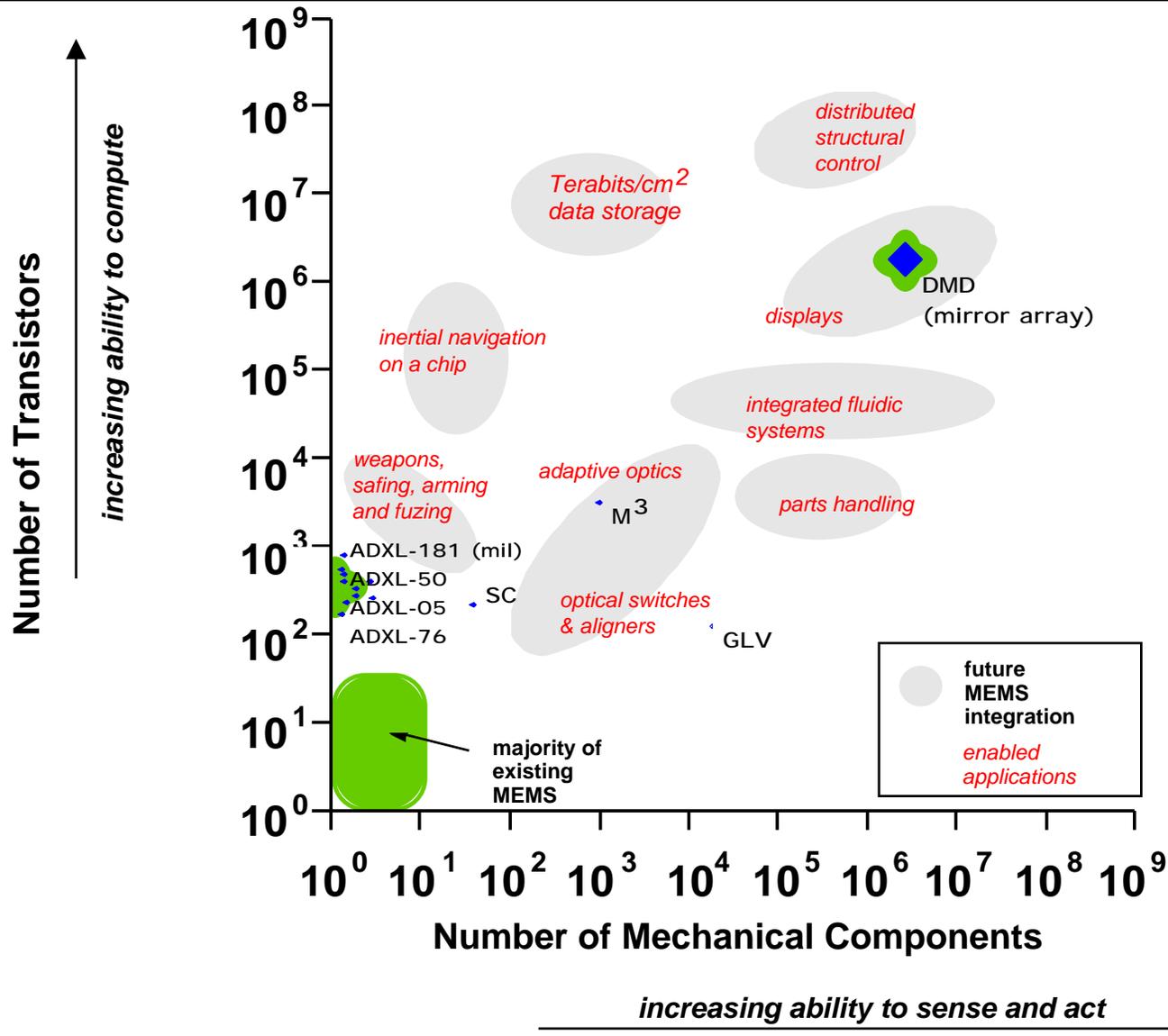
Significant MOEMS Activity



- UCLA, UCB, Optical Micro Machines, Silicon Light Machines
- TI, CWRU, CU, Sandia
- BU, General Electric, NCSU, Lucent, AT&T Labs, MCNC, AFIT
- University of Tokyo, Ritsumeikan University (Micromachining Multi-chip service), NTT, NEC, OMRON
- Switzerland, Belgium (IMEC), Germany, France



The Merging of Electronics and Micromachines





Summary



- Modern optics has been largely concerned with the
 - Generation
 - Modulation
 - Transmission, and
 - Detection of light
- Recent developments have focused on miniaturization of physical optical elements by micromachining
- Next significant developments will likely be the merging of
 - smart pixel arrays
 - optical micromachines
 - engineered nanostructure-based active optical devices
- For the exploitation of functionalities derived by the manipulation of spectral, polarization, and spatial attributes of light