

Optical MEMS for Steering Smart Pixel Array Output Beams

Project duration: March 2000 - February 2002

DARPA/MTO VLSI PI Review

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Vision



The integration of smart pixel arrays and MEMS will enhance alignment for free-space optical interconnect systems without the need for tighter assembly tolerances, and integration may enable interconnect reconfiguration.

Objective

To demonstrate the use of MEMS to automate the alignment of VCSEL-based smart pixel arrays for optical interconnection.

Research Phases



Near-term objective: Initial system

Mid-term objective: Dynamic
• **Feedback required**

Far-term objective: Interconnect reconfiguration*

- **High speed alignment desirable**
- **Complex steering algorithm may be needed**
- **Large steering angle required**
- **Independent channel control required**

*Not included in DARPA/SPAWAR program

Contents

MEMS at the University of Colorado

Project Accomplishments

Future Demonstrations





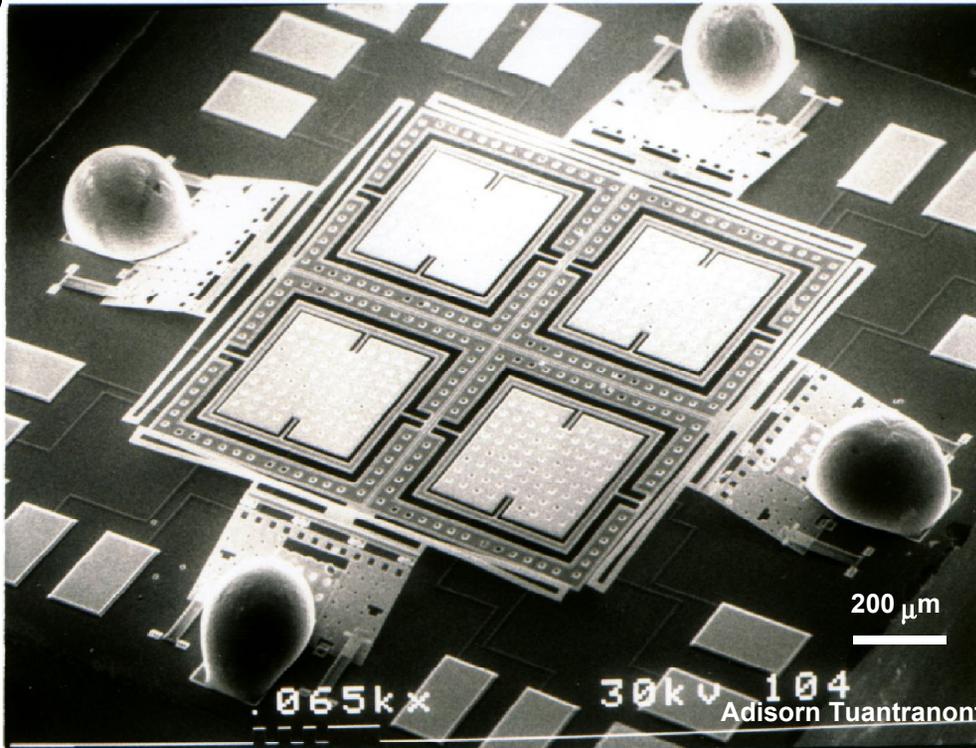
MEMS Personnel at the University of Colorado

21 MS and PhD Research Assistants (GRAs)

9 GRAs and 4 Faculty Involved with MOEMS

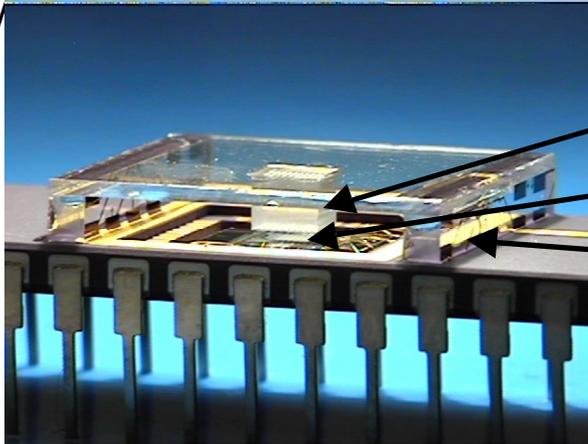
5 GRAs and 3 Faculty on DARPA/SPAWAR Program

MEMS 2-D Optical Scanner

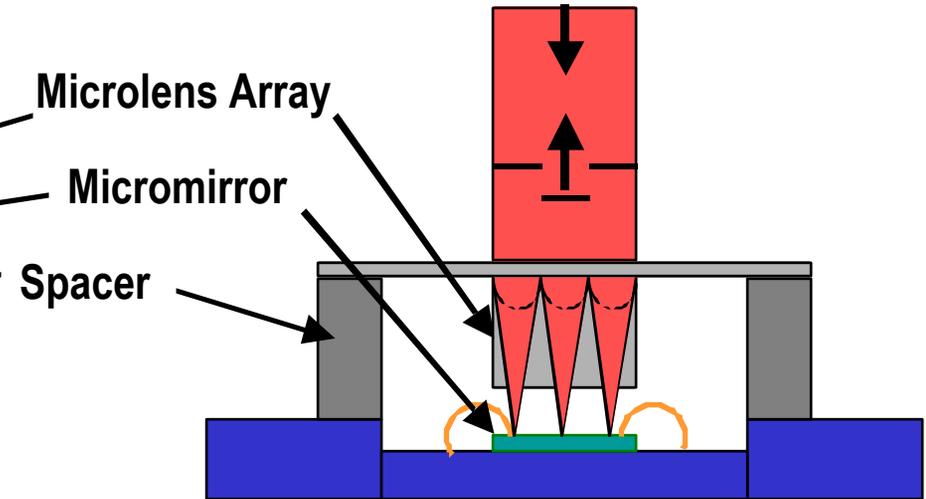


- Electrostatic Actuation
- X-Y Torsional Hinge
- Gimbal Structure
- Mirror Size = $280 \times 280 \mu\text{m}^2$
- Beam Steering Angle = 40°
- Voltage = 50-200 V
- Frequency = 10's of KHz
- Gold Reflective Surface

Micromirror/Microlens Integration



Final micromirror package



- ❑ 2-dimensional Planar Micro Lens (PML)
- ❑ 560 μm focal length controlled by spacers
- ❑ 250 μm center-to-center spacing
- ❑ Flip chipped directly on top of micromirror array

Thermo-Mechanical Modeling

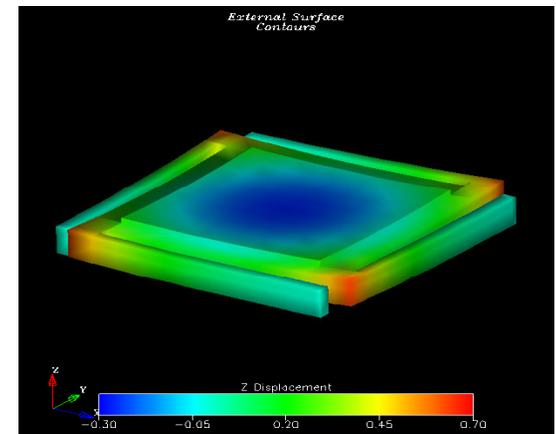
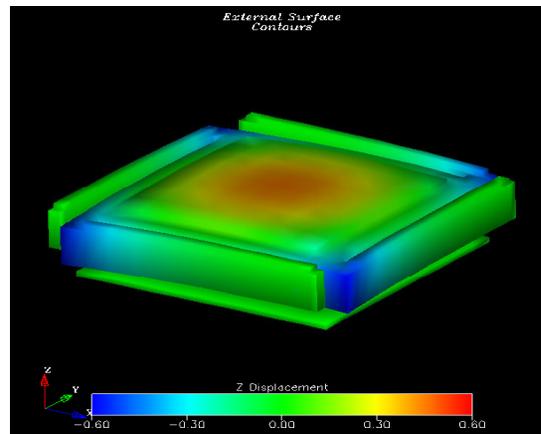
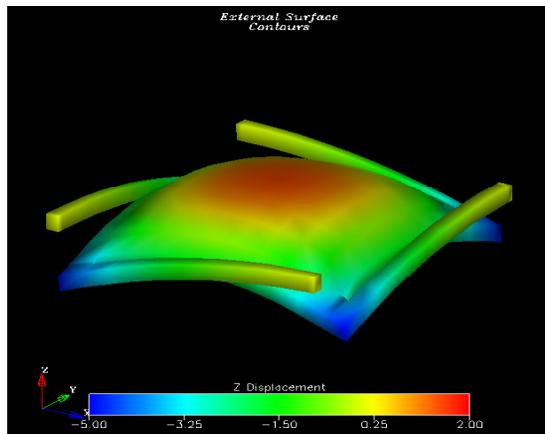
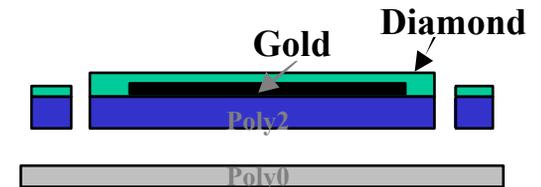
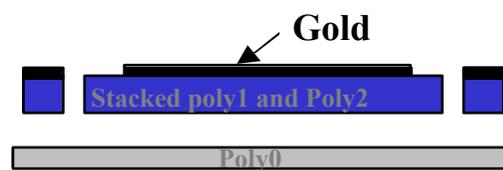
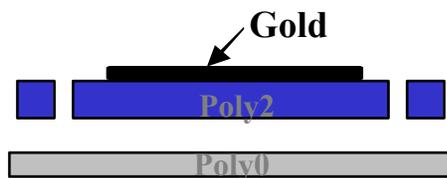
We are using modeling for design, analysis and optimization with respect to:

Natural frequency of vibration

Heat dissipation capacity

Pull-in voltage

Thermo-mechanical deformation



Mirror Area = $200 \times 200 \mu\text{m}$, Flexure Length = $190 \mu\text{m}$, Flexure Width = $10 \mu\text{m}$, Diamond Thickness = $1.25 \mu\text{m}$

Contents



MEMS at the University of Colorado

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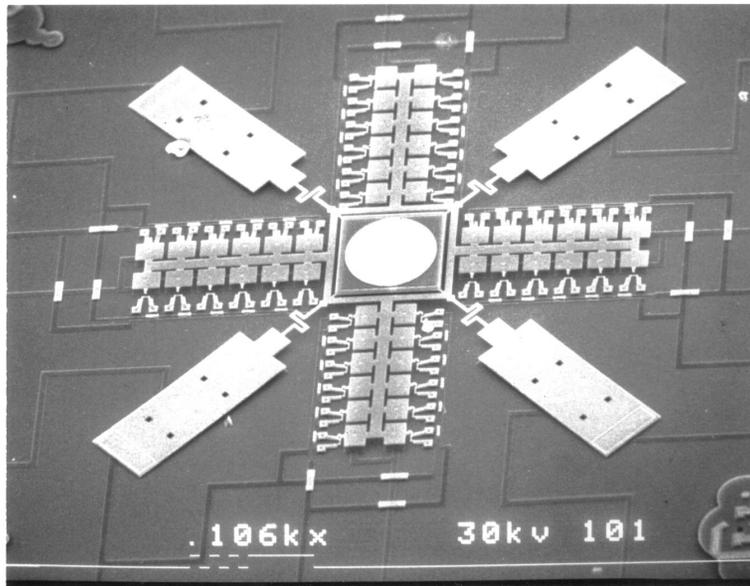
Demonstrated accurate alignment/position fixing
Demonstrated steering with decentered microlens
Designed dynamic alignment scheme

Future Demonstrations

Electrostatically Tilted Micro-mirrors for Manufacturing Alignments

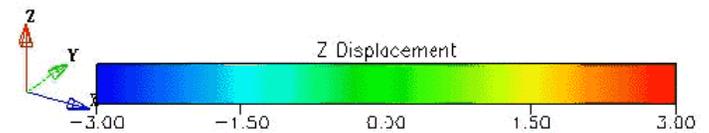
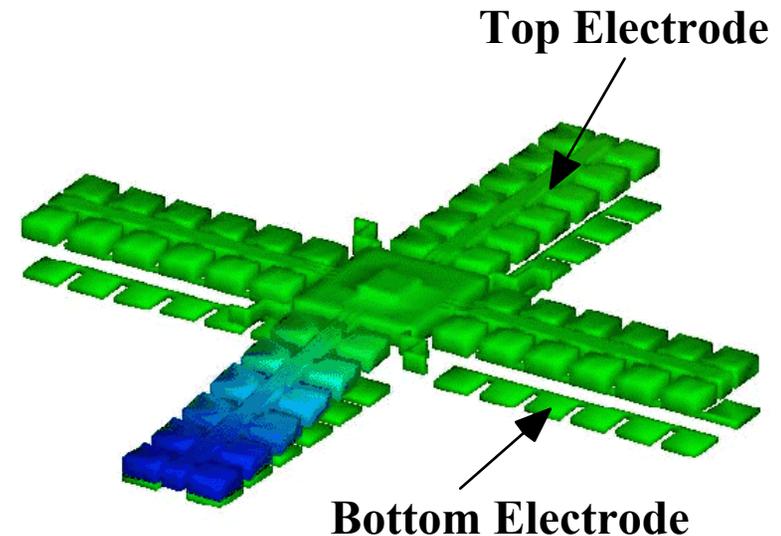


Electrostatic Scanner Design



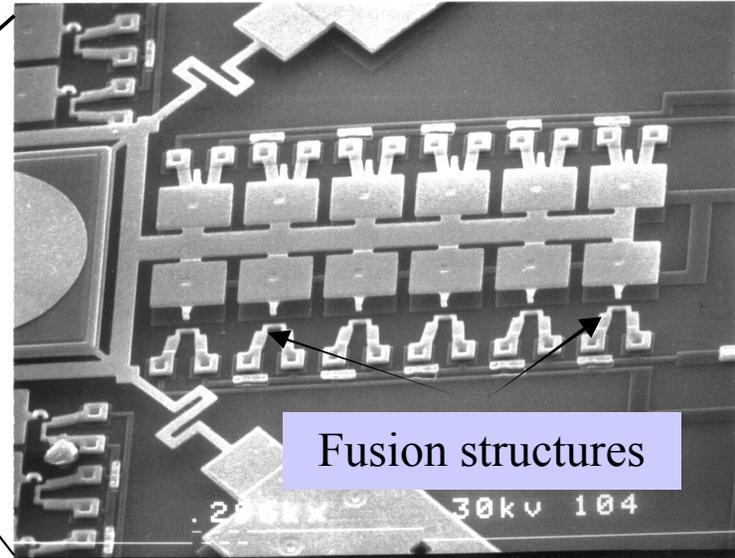
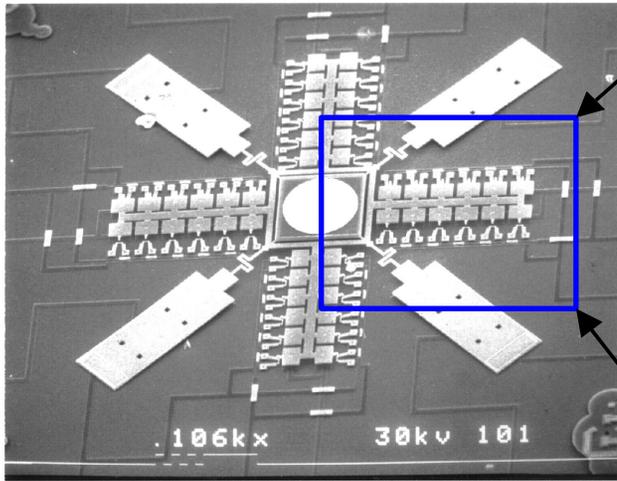
Color-Coded Displacement

*External Surface
Contours*

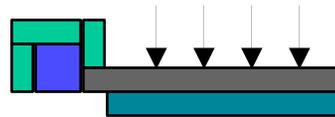


Position Fixing

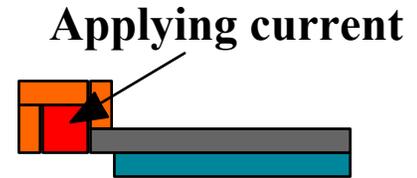
Fusing polysilicon by heating



Right after release

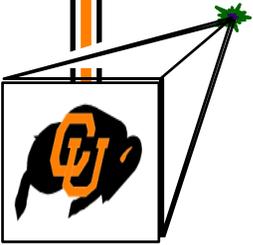


"Snap down"



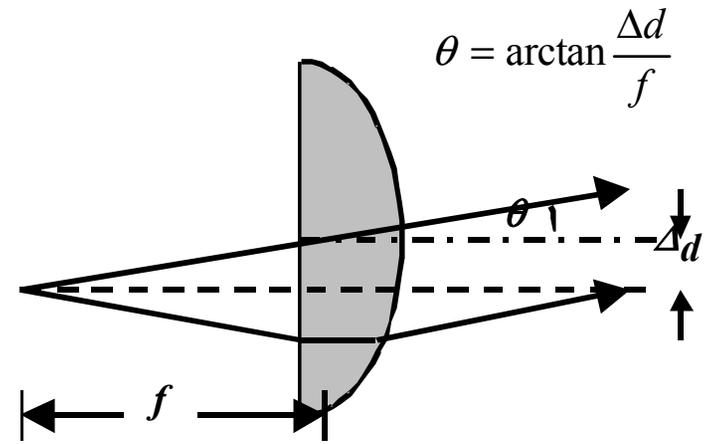
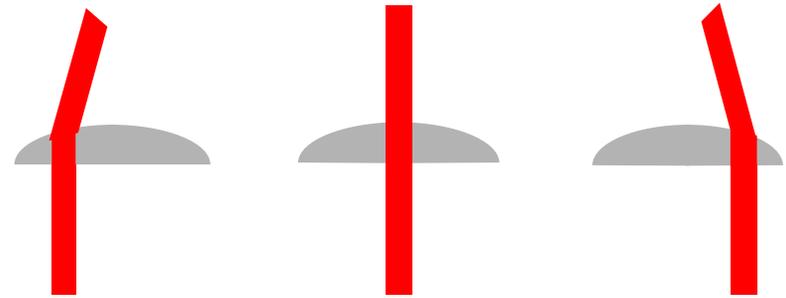
Heating and fixing

Beam Steering With Decentered Microlens



Beam propagating through off-axis lens will be directed to a non-zero field angle.

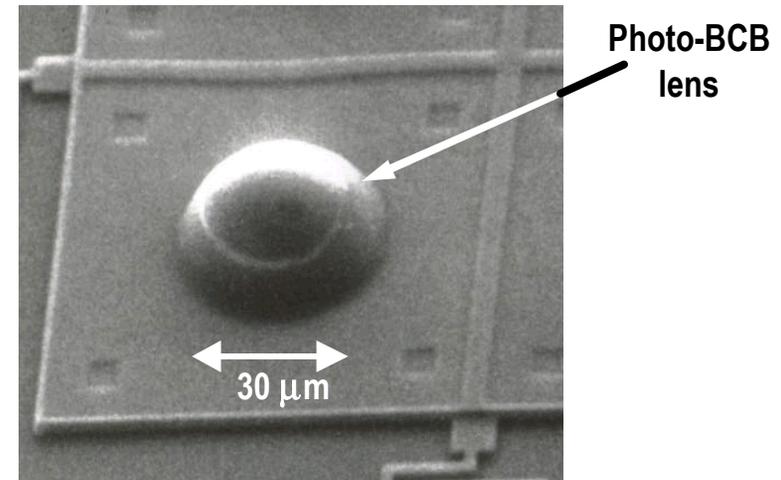
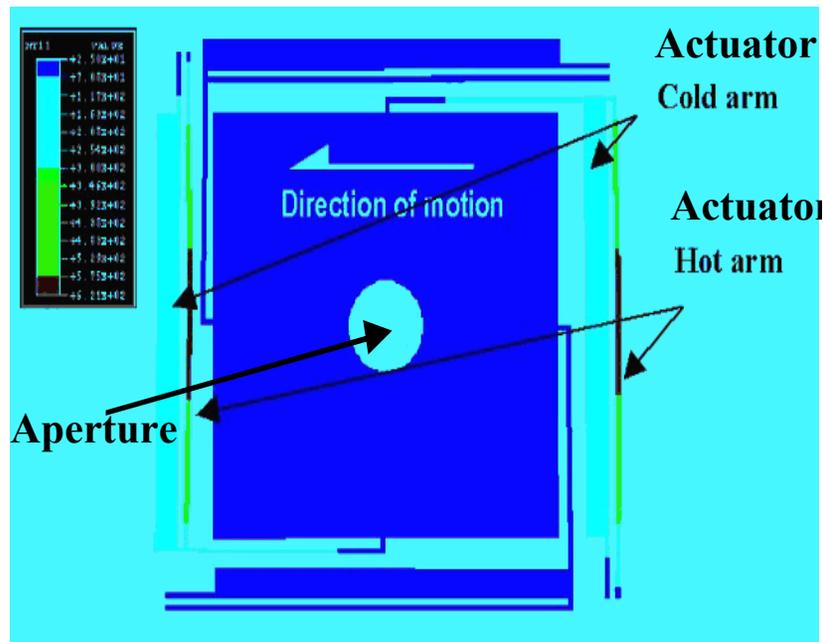
Steering angle depends on displacement and focal length of the microlens.



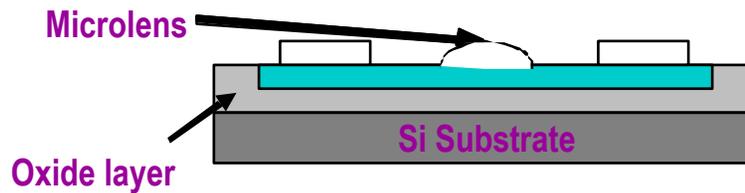
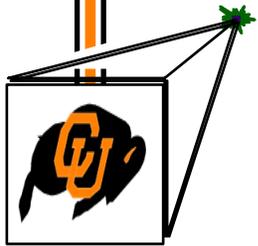
MEMS-Controllable Microlens

- **Configuration:**

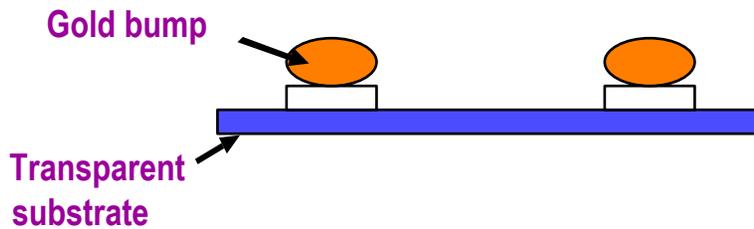
- 2-dimensional array of individually controllable microlenses
- 250 μm element spacing compatible with VCSEL array
- Thermal actuators coupled to the polymer microlens fabricated on a polysilicon plate with aperture
- Push and pull mechanism of actuators \rightarrow linear motion



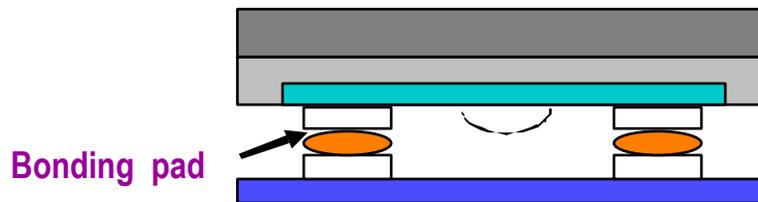
MEMS Flip-Chip Transfer / Integration



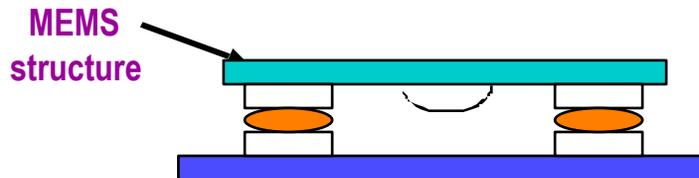
≠ Photo-BCB microlens fabrication



≠ Substrate fabrication with matching bonding pad

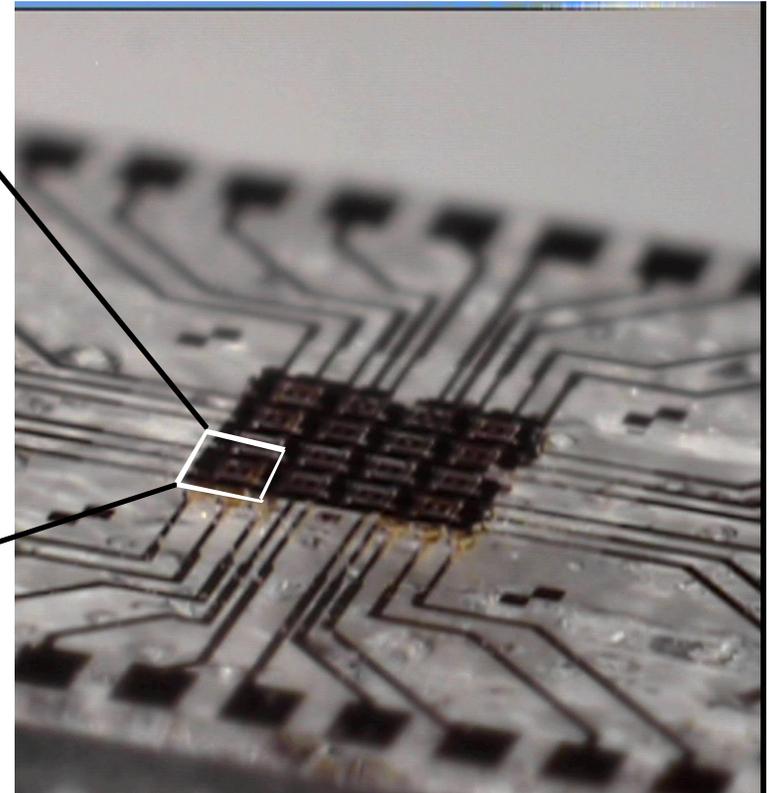
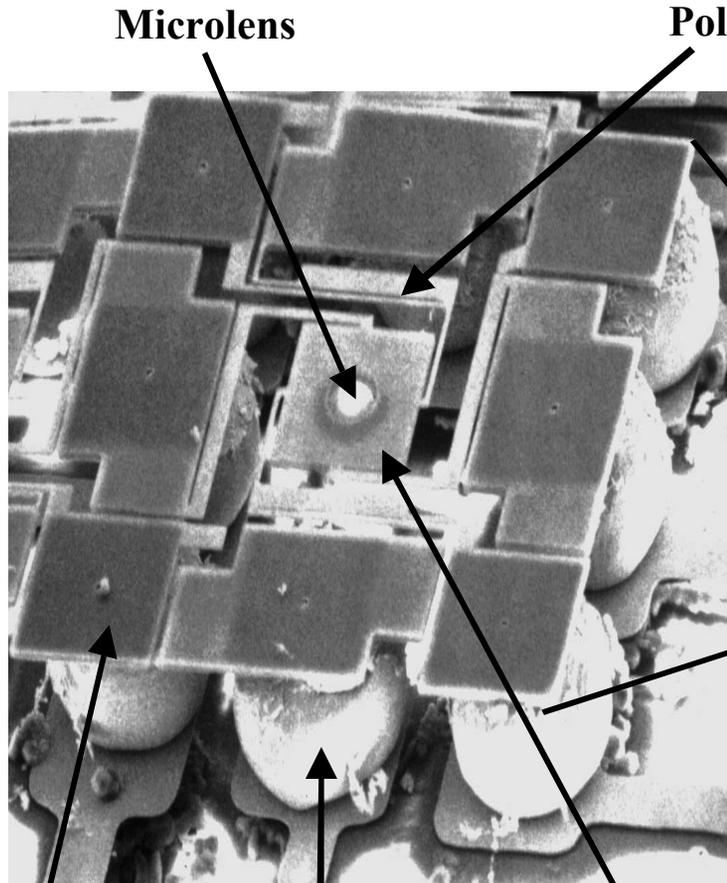
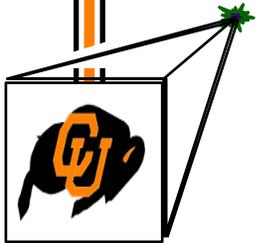


≠ Flip-chip bonding



≠ HF release of MEMS structure

Flip-chip Assembled MEMS-controllable Microlens Array on a Glass Substrate

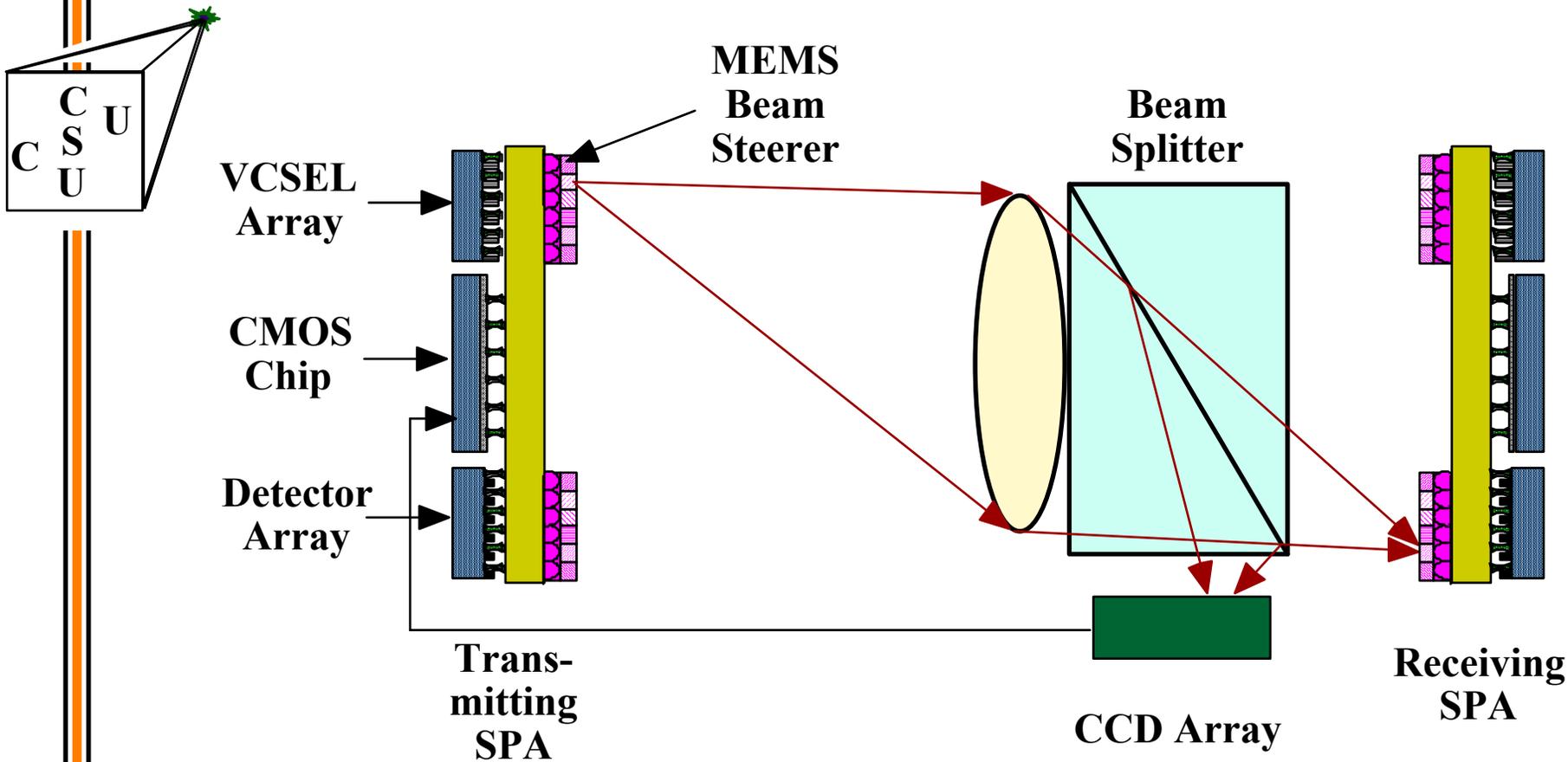


Bonding Pad

Gold Bump

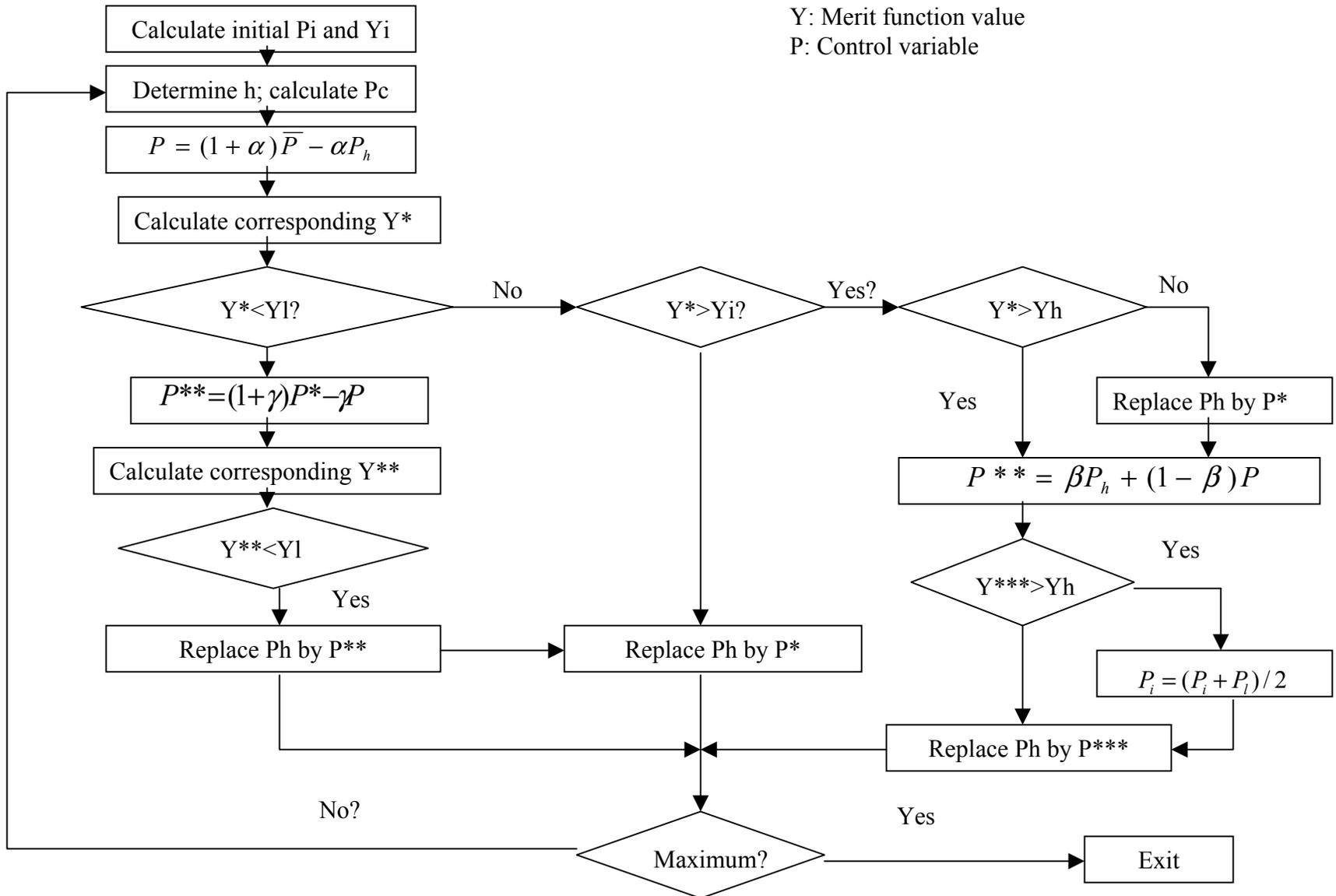
**Polysilicon
Movable Plate**

Selected Feedback Scheme for Closed Loop Alignment



Control Flow Chart

Y: Merit function value
P: Control variable



Contents



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➔ Future Demonstrations

Demonstrate glass-bead microlenses

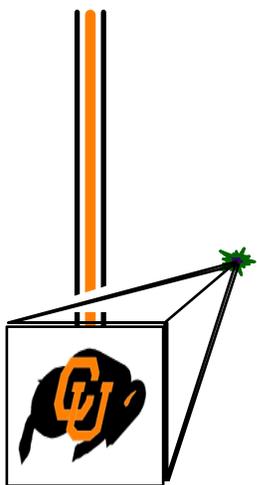
Demonstrate a micromirror-based folded beam path

Limitations of Our Current Laboratory Demonstrator

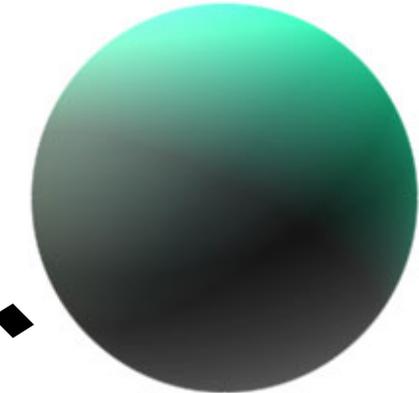


- **Quality of the polymer lenslets is marginal**
- **Interconnect channels are open loop**
- **Steering angle is only 4 degrees**
- **Lenslet schemes may not be easily manufacturable**

Optical Element Improvement



**MEMS Polysilicon
Moveable Plate
With Lenslet
Aperture**

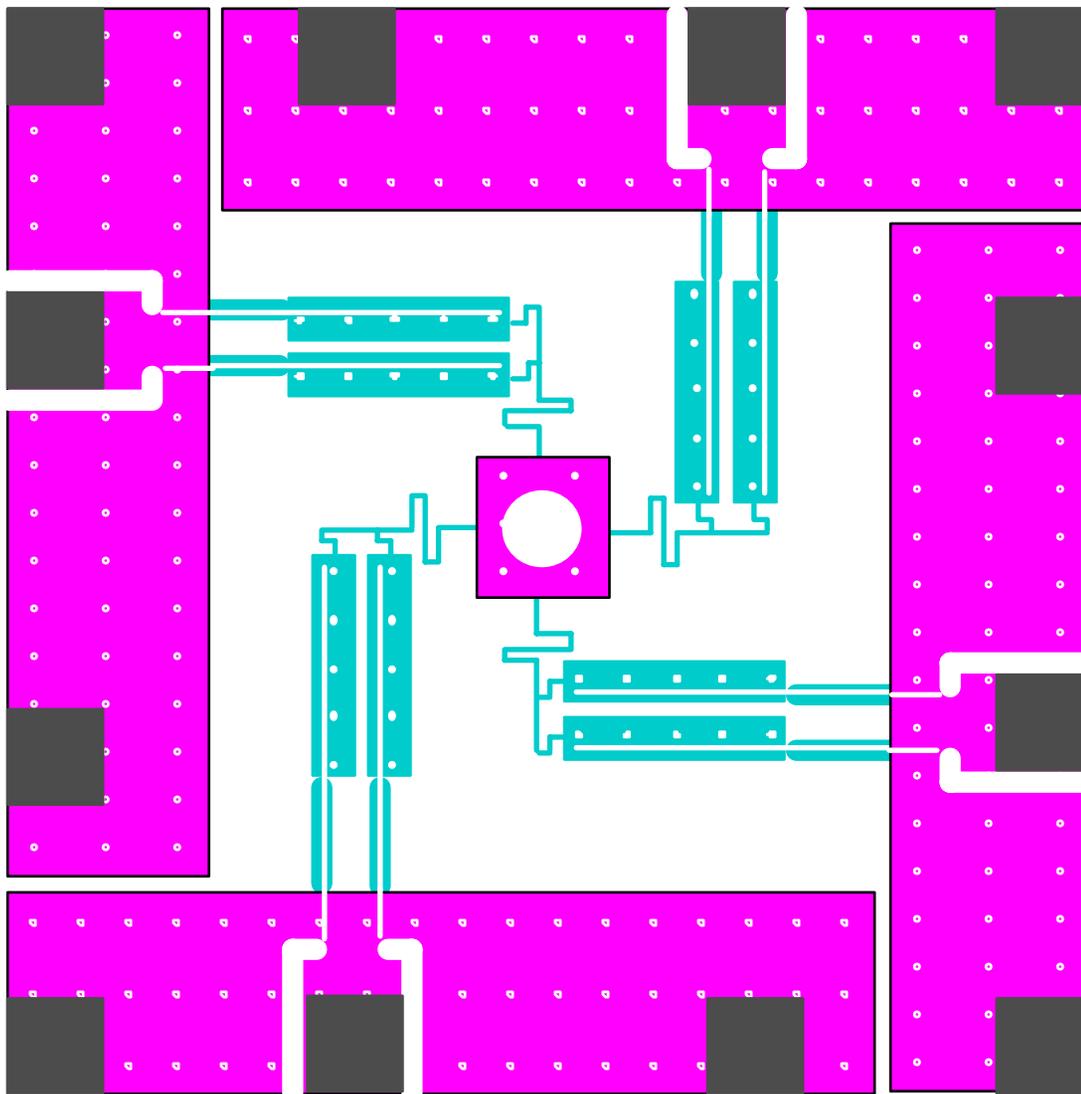
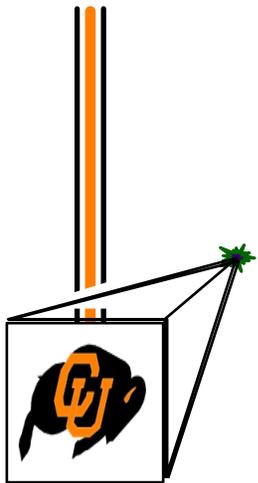


**Spherical Glass Bead
(60-90 μm diameter)**

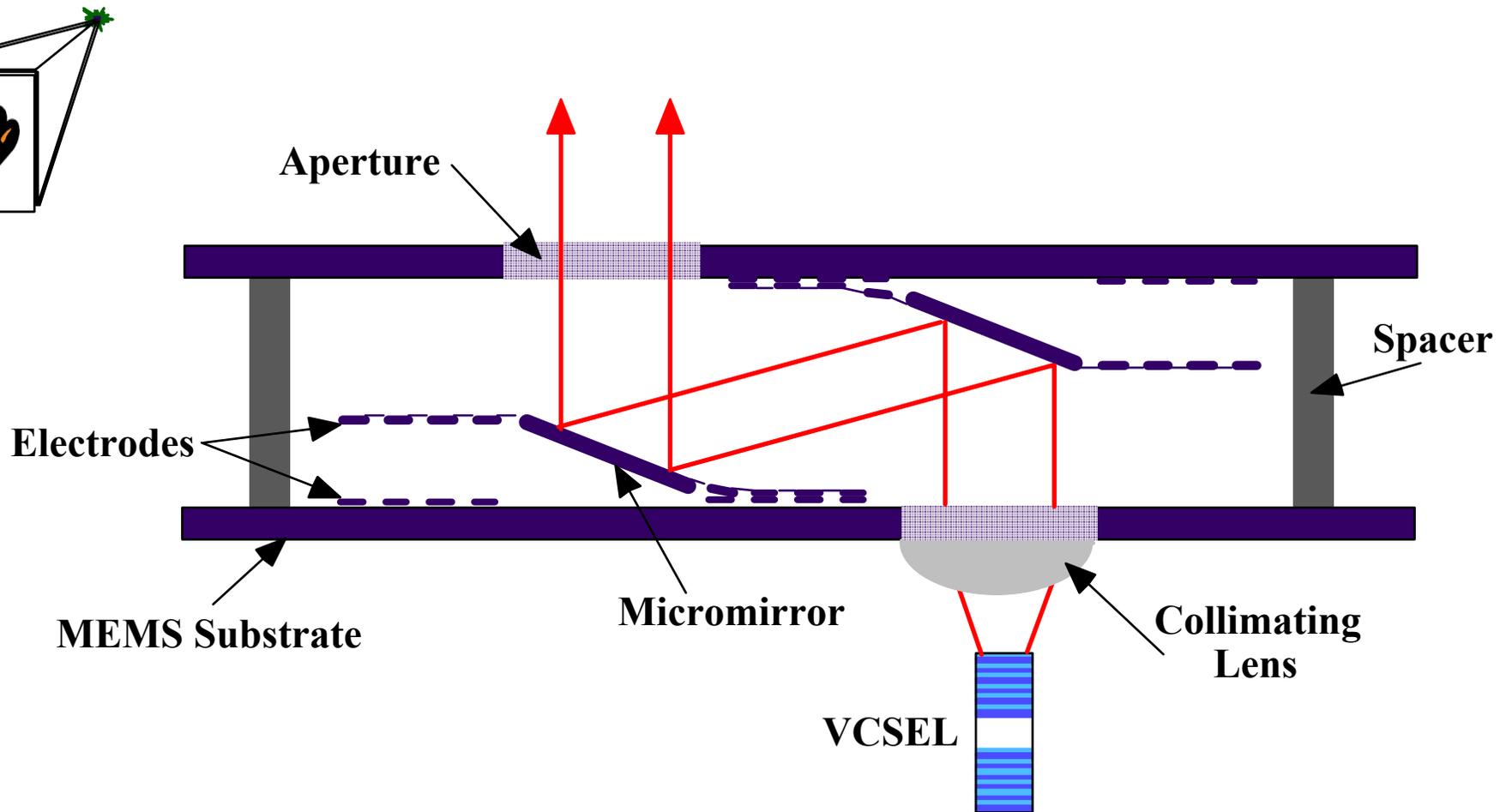
VCSEL



Layout for Modified MEMS-Controllable Microlens



Proposed Micro-Mirror Approach



Summary

- **Demonstrated accurate electrostatic positioning and fixing.**
- **Accomplished only known demonstration of MEMS-based lenslet beam steering.**
- **Initiated development of feedback for beam-steering control.**
- **Are about to demonstrate optical beads as replacement for polymer lenslets.**
- **Have redesigned flexure structure to achieve larger steering angles.**
- **Have designed and planned demonstration of a folded-beam micro-mirror scanner.**